

Electronic Acknowledgement Receipt

EFS ID:	1784002
Application Number:	09758777
International Application Number:	
Confirmation Number:	1711
Title of Invention:	Predictive automated routing system (PARS) for securities trading
First Named Inventor/Applicant Name:	Alan Shapiro
Customer Number:	26345
Filer:	Daniel S. Goldberg/Tracie Hibbits
Filer Authorized By:	Daniel S. Goldberg
Attorney Docket Number:	TAG-3.2.001/3658
Receipt Date:	17-MAY-2007
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Application Type:	Utility

Payment information:

Submitted with Payment	yes
Payment was successfully received in RAM	\$60
RAM confirmation Number	1534
Deposit Account	033839

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
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1	Miscellaneous Incoming Letter	Local.pdf	13265	no	1		
Warnings:							
Information:							
2	Extension of Time	Extension.pdf	41789	no	1		
Warnings:							
Information:							
3		Amendment.pdf	598144	yes	25		
Multipart Description/PDF files in .zip description							
Document Description			Start	End			
Amendment - After Non-Final Rejection			1	1			
Claims			2	14			
Applicant Arguments/Remarks Made in an Amendment			15	20			
Examination support document			21	25			
Warnings:							
Information:							
4	Fee Worksheet (PTO-06)	fee-info.pdf	8173	no	2		
Warnings:							
Information:							
Total Files Size (in bytes):					661371		

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.